

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

SUBSTITUTE FOR FORM 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	ATTY. DOCKET NO. 060021-375602	SERIAL NO. 09/930,786
	APPLICANT Sloan, Richard E.	
	FILING DATE 08/15/2001	GROUP 3692

Sheet 1 of 1

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number-Country Code-Number Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		US-4,455,609	06-19-1984	Inamura, Kiyonari et al.	
		US-5,077,665	12-31-1991	Silverman, David L. et al.	
		US-5,136,501	08-04-1992	Silverman, David L. et al.	
		US-5,481,476	01-02-1996	Windig, Willem	
		US-5,774,663	06-30-1998	Randle, William M. et al.	
		US-6,018,768	01-25-2000	Ullman, Craig et al.	
		US-6,055,517	04-25-2000	Friend, Edward H.	
		US-6,272,528	08-07-2001	Cullen, Terence David et al.	
		US-6,275,814	08-14-2001	Giansante, Joseph E. et al.	
		US-6,405,179	06-11-2002	Rebane, George J.	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		GB 2 192 567 A	01-20-1988	Nozaki Hiroyoshi et al.	

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	
		Akers, Robert L., SCIFINANCE. (data processing in the securities industry), AI Magazine, Summer, 2001.
		Kurt Chang, Solving pattern data exchange problems: standards development revived, From Bobbin, 10/01/2000.
		Cliff Currin, Financial Risk Management in Action. (petrochemicals industry), Chemical Week, Sept. 26, 2001.
		Louis C. Gapenski, Debt-Maturity Structures Should Match Risk Preferences, (statistical data included), Healthcare Financial Management Dec., 1999.
		Don N. Kleinmuntz, Measuring and managing risk improves strategic financial Planning, Healthcare Financial Management, June, 1999.
		Dean Villegas, WHIP! Your AutoCAD drawings, From CADalyst, 2/01/1998.
		Office Action issued 10/23/2007 by EPO on European Application No. 02 802 758.9 -2221
		Office Action issued on 11/30/2007 by US PTO on Application No. 09/704,838
		Office Action issued on 01/04/2008 by US PTO on Application No. 09/929,610
		Office Action issued on 02/06/2008 by US PTO on Application No. 09/976,443
		Office Action issued on 02/08/2008 by US PTO on Application No. 09/927,560
		Office Action issued 01/31/2008 by EPO on Application No. 00959 864.0
		Office Action issued on 04/03/08 by US PTO on Application No. 09/927,560

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.